

#4 IDS 874

M. Brunson

3

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

UC97-156-8

7/5/01

In Re Application Of

MAY 29 2001

SOLGAARD, O.; HERSTAGE, J.; BHATTARI, A.

Serial No.

09/849,096

Filing Date

MAY 4, 2001

Examiner

Group Art Unit

Title: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

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In Re Application Of:

SOLGAARD, O.; HERITAGE, J.; BHATTARI, A.

Serial No.
09/849,096

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Group Art Unit

Title: **MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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JOHN P. O'BANION

Typed or Printed Name of Person Mailing Correspondence

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JOHN P. O'BANION, REG. NO. 33,201
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Dated: 5/24/01

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **OLAV SOLGAARD ET AL.**

Docket No.

UC97-156-8

Serial No.

09/813,446

Filing Date

MAY 4, 2001

Examiner

LEE, J.

Group Art Unit

2874

Invention: **MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

I hereby certify that the following correspondence:

Information Disclosure Citation (PTO-1449) (Page 1)*(Identify type of correspondence)*

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Applicant(s): SOLGAARD, O. HERITAGE, J.; BHATTARI, A.		UC97-156-8	
Serial No. 09/849,096	Filing Date MAY 4, 2001	Examiner	Group Art Unit
Invention: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH			
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): SOLGAARD, O.; HERITAGE, J.; BHATTARI, A.

Docket No.

UC97-156-8

Serial No.
09/849,096Filing Date
MAY 4, 2001

Examiner

Group Art Unit

Invention:

MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

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